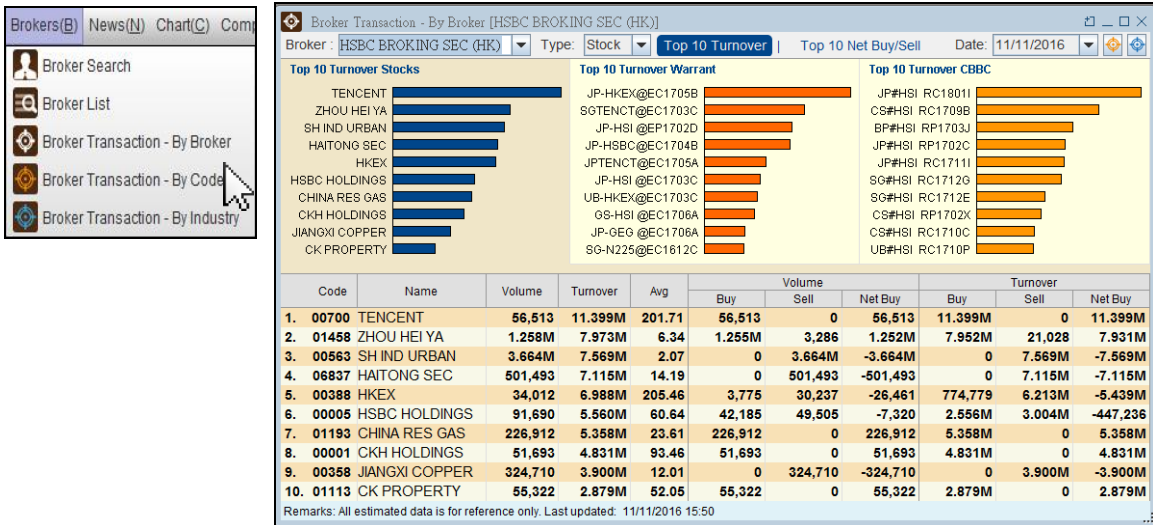


## Using Display Options (Brokers)

### 2.8 Broker

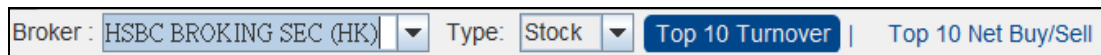
#### 2.8.1 Broker Transaction – By Broker



Broker Transaction displays the bar chart for top 10 Turnover Stocks, CBBC and Warrant that the specific broker traded. To view more details, user may refer to the table underneath with the difference on transaction volume, turnover and buy/sell figures of the stock, etc.

\* All information will be used for reference only and auto-updated for every minute.

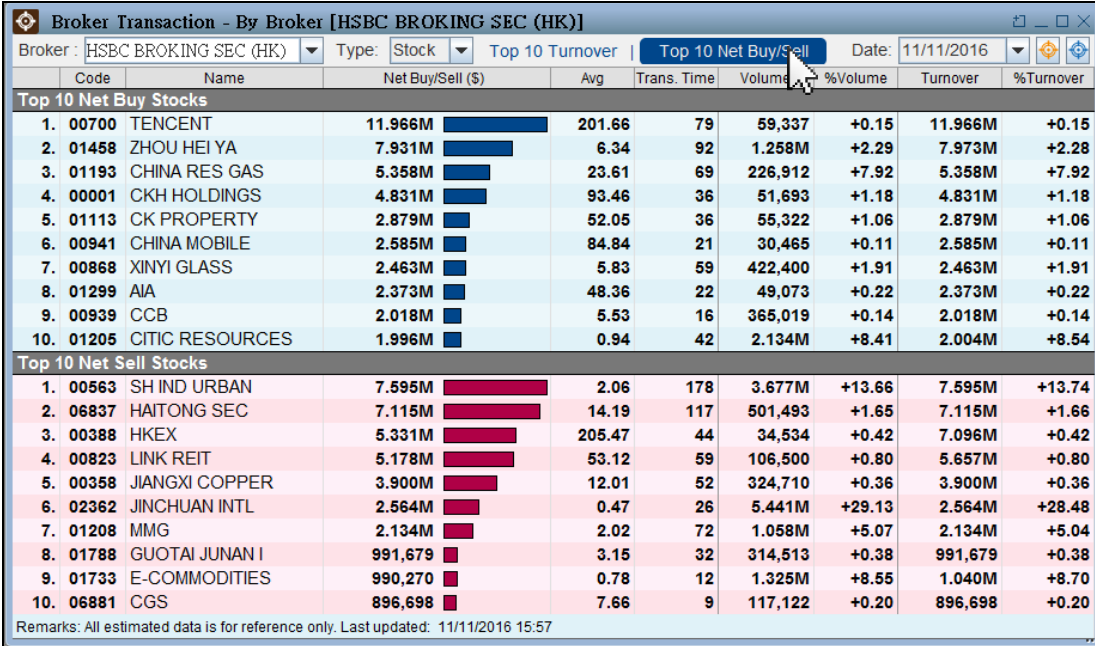
#### Filtering



User can may click the pull down menu of “Broker Firm” at the top left of the window and select the desired broker name to check its top 10 transacted stocks. Also, by clicking the “Type” tab, user can switch between stocks, warrant and CBBC to check its information respectively.

## Using Display Options (Brokers)

### Top 10 Net Buy/Sell Stocks

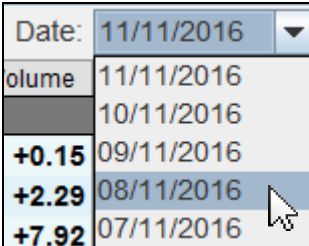


Code	Name	Net Buy/Sell (\$)	Avg	Trans. Time	Volume	%Volume	Turnover	%Turnover
<b>Top 10 Net Buy Stocks</b>								
1. 00700	TENCENT	11.966M	201.66	79	59,337	+0.15	11.966M	+0.15
2. 01458	ZHOU HEI YA	7.931M	6.34	92	1,258M	+2.29	7.973M	+2.28
3. 01193	CHINA RES GAS	5.358M	23.61	69	226,912	+7.92	5.358M	+7.92
4. 00001	CKH HOLDINGS	4.831M	93.46	36	51,693	+1.18	4.831M	+1.18
5. 01113	CK PROPERTY	2.879M	52.05	36	55,322	+1.06	2.879M	+1.06
6. 00941	CHINA MOBILE	2.585M	84.84	21	30,465	+0.11	2.585M	+0.11
7. 00868	XINYI GLASS	2.463M	5.83	59	422,400	+1.91	2.463M	+1.91
8. 01299	AIA	2.373M	48.36	22	49,073	+0.22	2.373M	+0.22
9. 00939	CCB	2.018M	5.53	16	365,019	+0.14	2.018M	+0.14
10. 01205	CITIC RESOURCES	1.996M	0.94	42	2,134M	+8.41	2.004M	+8.54
<b>Top 10 Net Sell Stocks</b>								
1. 00563	SH IND URBAN	7.595M	2.06	178	3,677M	+13.66	7.595M	+13.74
2. 06837	HAITONG SEC	7.115M	14.19	117	501,493	+1.65	7.115M	+1.66
3. 00388	HKEX	5.331M	205.47	44	34,534	+0.42	7.096M	+0.42
4. 00823	LINK REIT	5.178M	53.12	59	106,500	+0.80	5.657M	+0.80
5. 00358	JIANGXI COPPER	3.900M	12.01	52	324,710	+0.36	3.900M	+0.36
6. 02362	JINCHUAN INTL	2.564M	0.47	26	5,441M	+29.13	2.564M	+28.48
7. 01208	MMG	2.134M	2.02	72	1,058M	+5.07	2.134M	+5.04
8. 01788	GUOTAI JUNAN I	991,679	3.15	32	314,513	+0.38	991,679	+0.38
9. 01733	E-COMMODITIES	990,270	0.78	12	1,325M	+8.55	1,040M	+8.70
10. 06881	CGS	896,698	7.66	9	117,122	+0.20	896,698	+0.20

Remarks: All estimated data is for reference only. Last updated: 11/11/2016 15:57

Top 10 Net Buy/Sell Stocks sorting list summarizes the top 10 broker transaction for its net buy or sell turnover on different stocks which indicate in blue and red colour respectively. If the transaction amount that the broker buy on a stock is greater than its sell, it will be viewed as Net Buy Stock and vice versa.

### View Historical Data



Date:	11/11/2016
Volume	11/11/2016
	10/11/2016
+0.15	09/11/2016
+2.29	08/11/2016
+7.92	07/11/2016

Apart from the current transaction data, user can check historical data of the past 5 trading days. User can select the date by clicking the pull down menu "Date" on the top right of screen.

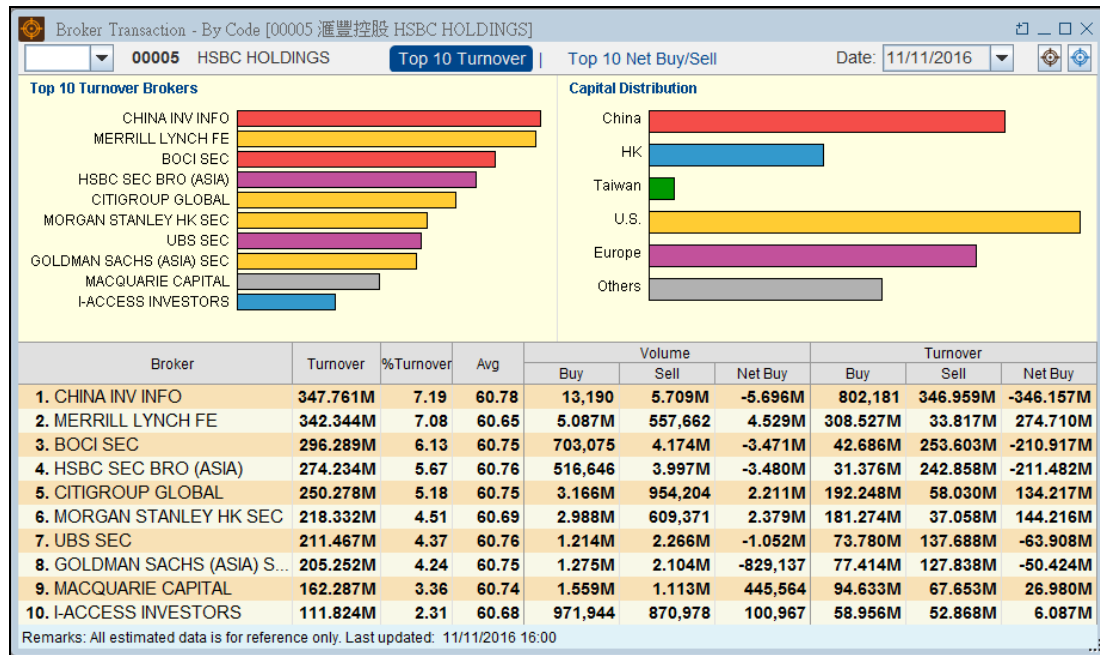
### Quick Switch to Broker Transaction by Industries



By mouse clicking the button at the top right corner of the screen, the related windows, Broker Transaction-By Code and Broker Transaction-By Industry will be displayed immediately.

## Using Display Options (Brokers)

### 2.8.2 Broker Transaction – By Code



User can input the securities code in the edit box to show broker transaction by specific stock. Bar chart at the top left of the screen shows the “Top 10 Turnover Brokers” for specific stock in descending order with region indication. While at the top right of the screen the graph of “Capital Distribution” indicates different capital source with respective color. To view more details, user may refer to the table underneath with breakdown on transaction volume, turnover and %Volume, etc.

\* All information will be used for reference only and auto-updated for every minute.

## Using Display Options (Brokers)

### Top 10 Net Buy/Net Sell Brokers

Broker Transaction - By Code [00005 滙豐控股 HSBC HOLDINGS]									
00005 HSBC HOLDINGS Top 10 Turnover Top 10 Net Buy/Sell Date: 11/11/2016									
Broker	Net Buy/Sell (\$)	Avg	Trans. Time	US	HK	Taiwan	U.S.	Europe	Others
<b>Top 10 Net Buy Brokers</b>									
1. MERRILL LYNCH FE	274.710M	60.65	1606	5.645M	+6.88	342.344M	+6.88		
2. MORGAN STANLEY HK SEC	144.216M	60.69	1041	3.597M	+4.39	218.332M	+4.38		
3. CITIGROUP GLOBAL	134.217M	60.75	1319	4.120M	+5.02	250.278M	+5.03		
4. J.P. MORGAN BRO (HK)	91.212M	60.70	556	1.832M	+2.23	111.200M	+2.23		
5. INSTINET PACIFIC	44.446M	60.69	255	746,731	+0.91	45.322M	+0.91		
6. DEUTSCHE SEC ASIA	43.280M	60.74	416	1.541M	+1.88	93.588M	+1.88		
7. DAIWA CAPITAL MARKETS HK	41.912M	60.70	225	712,472	+0.87	43.244M	+0.87		
8. BARCLAYS CAPITAL ASIA	40.533M	60.65	201	760,367	+0.93	46.116M	+0.93		
9. CLSA LTD	27.122M	60.72	126	450,443	+0.55	27.350M	+0.55		
10. MACQUARIE CAPITAL	26.980M	60.74	601	2.672M	+3.26	162.287M	+3.26		
<b>Top 10 Net Sell Brokers</b>									
1. CHINA INV INFO	346.157M	60.78	960	5.722M	+6.98	347.761M	+6.99		
2. HSBC SEC BRO (ASIA)	211.482M	60.76	1484	4.513M	+5.50	274.234M	+5.51		
3. BOCI SEC	210.917M	60.75	1535	4.877M	+5.95	296.289M	+5.95		
4. HANG SENG SEC	70.125M	60.74	673	1.918M	+2.34	116.521M	+2.34		
5. GOLDMAN SACHS (ASIA) SEC	50.424M	60.75	918	3.379M	+4.12	205.252M	+4.12		
6. BOCOM INT'L SEC	36.423M	60.77	246	725,683	+0.88	44.098M	+0.89		
7. PO SANG SEC & FUTURES	33.736M	60.78	265	757,152	+0.92	46.019M	+0.92		
8. EAST ASIA SEC	29.447M	60.76	149	538,367	+0.66	32.709M	+0.66		
9. CHONG HING SEC	28.215M	60.75	144	511,938	+0.62	31.099M	+0.62		
10. SHACOM SEC	21.878M	60.79	143	445,871	+0.54	27.103M	+0.54		

Remarks: All estimated data is for reference only. Last updated: 11/11/2016 16:01

Top 10 Net Buy/Net Sell lists down the Top 10 Net Buy/Net Sell Brokers in table and chart with region indication. If the broker has turnovers of buy transaction greater than its sell transaction on the stock, he will be regarded as Net Buy Broker and vice versa.

### 2.8.3 Broker Transaction – By Industry

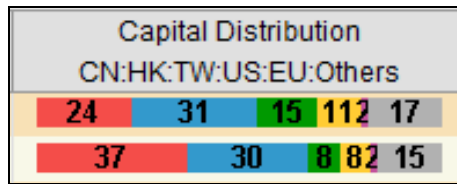
Broker Transaction - By Industry										
11/11/2016										
Industry	Net Cap. Inflow	Capital Distribution CN:HK:TW:US:EU:Others					Turnover	Past 5-day Avg. Turnover	5-day Avg. Turnover	
Software & Servi...	237.570M	24	31	15	112	17	7.240B	736.740M		
Hotels & Entertai...	11.455M	37	30	8	82	15	1.268B	232.466M		
Textiles & Clothing	1.432M	25	41	14	82	10	450.516M	124.047M		
Miscellaneous	61840	55				45	79540	137993		
Jewellery & Watc...	-20.813M	17	33	16	15	3 16	153.806M	14.546M		
Support Services	-21.085M	14	20	15	14	6 31	166.916M	61.236M		
Media & Publishi...	-23.394M	6	20	25	24	7 18	222.423M	40.636M		
Household Good...	-41.758M	23	29	14	15	4 15	384.545M	123.625M		
Mining	-47.664M	19	32	24	11	3 11	398.202M	72.485M		
Basic Materials	-57.240M	28	28	16	8	3 17	288.750M	55.214M		
Food & Beverag...	-58.417M	27	33	17	52	16	998.073M	135.330M		
Automobiles	-72.289M	31	29	15	72	16	1.971B	308.284M		
Conglomerates	-93.359M	31	38	14	52	10	781.620M	192.815M		
Agricultural Prod...	-100.299M	31	27	12	112	17	421.943M	89.481M		
Coal	-103.067M	34	28	11	71	19	970.122M	124.320M		

In Broker Transaction – By Industry, client can monitor the capital inflow and outflow of the constituent stocks in different industries. If the figure on “Net Buy” is positive, it indicates there is net capital inflow and vice versa.

\* All information will be used for reference only and auto-updated for every minute.

## Using Display Options (Brokers)

### Capital Distribution Diagram



Capital Distribution Diagram indicates % Net Capital Flow of each region on all industries and shows in respective color:

Red – China

Blue – Hong Kong

Green – Taiwan

Yellow – USA

Dark Purple – Europe

Grey – Others

### Additional Average Transaction Data

Turnover	Past 5-day Avg. Turnover	5-day Avg. Turnover
7.240B	736.740M	
1.268B	232.466M	
450.516M	124.047M	
79540	137993	

09/11/2016 2.37B

User can compare the Past 5-day Average Turnover data with today's turnover. Meanwhile, 5-day Average Turnover Chart presents the past 4-day average and today turnover in bar chart format. User can mouse-over the 5-day Turnover Chart to show specific turnover with date.